

Many prudent plan sponsors hire a plan advisor to help them adhere to ERISA's rigorous standards and to meet their objective of offering a best practices retirement plan to their employees. ERISA rules are clear — every decision you make as a fiduciary must be in the best interests of plan participants and their beneficiaries.

Corporate Retirement Plan Service Model

Experience with Plan Design

- We help you create the retirement plan benefits structure that meets the goals and objectives of your organization while maintaining qualified plan status

Regular Evaluations

- Ongoing & regular reviews with evaluation of funds and retirement plan providers objectively through use of third-party tools and resources

Qualified Plan Investment Experience

- Ability to evaluate, select and monitor fund performance & follow the terms of a signed investment policy statement

Familiarity with ERISA

- Regular updates on litigation, legislation and regulations impacting retirement plans and fiduciaries

Knowledge of the Provider Marketplace

- Ability to help ensure that your plan is being administered an efficient manner and for a reasonable price through regular fee benchmarking and analysis

Open Fee Disclosure

- Openly disclose and benchmark sources of fees being received on a direct and/or indirect basis

Fiduciary File Documentation

- Assist in demonstrating procedural prudence in a well-documented manner

Employee Communication

- Educate employees regarding plan highlights, creating investment strategies, as well as ongoing education in the lifecycle of an employee.

Fiduciary Services

- Acceptance of the 3(21) Fiduciary Services role with respect to the investment advice being delivered

Larry, Bert, Jeff, Al, Jordan and Brian provide a variety of services to retirement plan sponsors through their registrations with Principal and a relationship with RPAG. While they may act as a 3(21) fiduciary, helping a plan sponsor meet their obligations, they do not act with discretion. They do not act as 3(16), 3(38) or in other ERISA fiduciary capacities. Services being provided are not intended for plan participants. The tools and services provided by RPAG are not endorsed by Principal.

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