

# The Strategic Financial Solutions Difference



**Strategic Financial Solutions (SFS)** is a values-based financial services firm, dedicated to providing experience and quality, ongoing service to corporate retirement plan clients and individuals. We specialize in retirement program design and services for corporate clients and financial planning and wealth management for individuals.



Strategic  
Financial  
Solutions™

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## Defined Contribution Plan Management

- Help you with managing support for fiduciary considerations.
  - Annual Fiduciary File
  - Fiduciary Fitness Program
- Help you monitor and troubleshoot plan compliance and recordkeeping and review compliance results
- Prepare and educate Plan Sponsors to mitigate risks of DOL Audits

## Defined Benefit Plan Management

- Asset Allocation
- Liability Driven Investment Analysis

## “Non Qualified” Plan Management

- Plan Design
- Legislative compliance
- Managing asset/liability relationship
- Educating Employees
- ESOP

## Company Ownership Capabilities

- (Employee Stock Ownership Plans)
- Business Succession Planning
- Buy-Sell Plan Review

Larry, Bert, Jeff, Al, Jordan and Brian provide a variety of services to retirement plan sponsors through their registrations with Principal and a relationship with RPAG. While they may act as a 3(21) fiduciary, helping a plan sponsor meet their obligations, they do not act with discretion. They do not act as 3(16), 3(38) or in other ERISA fiduciary capacities. Services being provided are not intended for plan participants. The tools and services provided by RPAG are not endorsed by Principal.



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## Strategic Financial Solutions Our Services

At Strategic Financial Solutions, we don't believe in selling one-size-fits-all solutions.

### The Corporate Retirement Equation™

This is our multifaceted method for working to improve your corporate retirement plan. Our team assesses your current plan, then assists in the implementation of your complete communication, investment and service strategy. We leverage our substantial inventory of resources from the largest retirement and investment firms in the country to offer significant value per dollar spent.

### The Integrated Equity Formula™

This is a series of steps designed to simplify the financial lives of individuals. Our team utilizes a suite of tools for comprehensive analysis. This analysis encompasses individual investment and retirement strategies, education planning and risk management.

Our intentional review process also reviews your strategy through ongoing goal clarification and progress charting.

### The 4 R's: Recruit, Retain, Reward, Retire™

This is our process for coordinating the design, administration, funding and implementation of Non-Qualified Executive Benefits programs. Our plans are designed to retire your key executives and often include an accumulation component which may assist executives in developing a more secure retirement. This component also accounts for the additional constraints executives experience inside qualified benefits or retirement plans.

### The Portfolio Solution™

This is how we assist individuals, endowments and trusts in the pursuit of growth and development of investment capital. Our method utilizes resources from a number of the largest investment firms in the country, as well as objective and subjective analytical data, designed to stay ahead of the curve. We also provide proactive tax management of your invested assets through ongoing due diligence.

Insurance products from the Principal Financial Group® are issued by Principal National Life Insurance Company (except in New York), Principal Life Insurance Company, and the companies available through the Preferred Product Network, Inc. Securities and Advisory products offered through Principal Securities, Inc., (800)247-1737, Member SIPC. Principal National, Principal Life, the Preferred Product Network and Principal Securities are members of the Principal Financial Group, Des Moines, Iowa 50392. Strategic Financial Solutions LLC, is not an affiliate of any company of the Principal Financial Group. 1288687-032021